

POLICY TITLE: **Responsible Conduct of Research and Scholarship Policy**

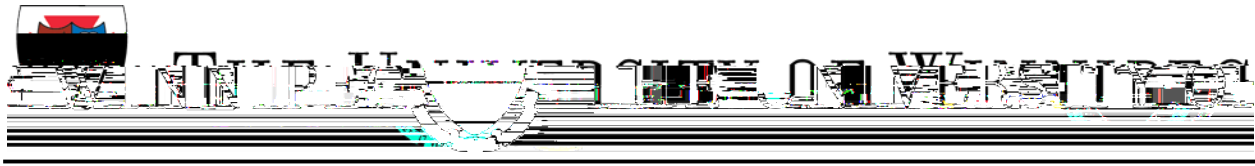
EFFECTIVE DATE: **March 19, 2018**

APPROVAL BODY: **Board of Regents**

EDITORIAL REVISION: **March 18, 2019**

This Policy sets forth the standards for research and scholarship integrity for all Community Members at the University of Winnipeg involved in research and scholarship in any capacity. This Policy promotes integrity among researchers and scholars and provides a framework for investigating Allegations of misconduct in research and scholarship. This Policy does not seek to compromise the principles of academic freedom.

This Policy applies to all UWinnipeg community members including, but not limited to: faculty, professors emeriti, contract academic staff, staff,



Investigation - A systematic process, conducted by an institution's investigation committee, of examining an Allegation, collecting and examining the evidence related to the Allegation, and making a decision as to whether a breach of policy(ies) has occurred.

Material - being of importance or great consequence.

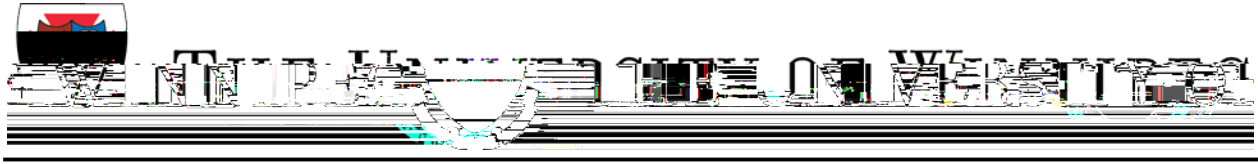
Material Financial Interest - ownership, substantial stock holdings [i.e., greater than or equal to 10% of shares being traded], a directorship, or honoraria or consulting fees over \$1,000., but does not include minor stock holdings in publicly traded corporations, or minor royalties or license fees.

Research Support Employees – research assistants and research associates employed at the University of Winnipeg to assist and perform tasks related to research activities and projects.

Secretariat on Responsible Conduct of Research (SRCR) - the administrative support organization for the Panel on Research Ethics (PRE), the Panel on Responsible Conduct of Research (PRCR), and for the three federal research granting Agencies (CIHR, NSERC and SSHRC) with respect to the *Tri-Council Policy Statement: Ethical Conduct for Research Involving Humans*, 2nd edition (TCPS 2), and the *Tri-Agency Framework: Responsible Conduct of Research* (the Framework).

Tri-Agency - Canadian Institutes of Health Research, CIHR; Natural Sciences and Engineering Research Council of Canada, NSERC; and the Social Sciences and Humanities Research Council of Canada, SSHRC.

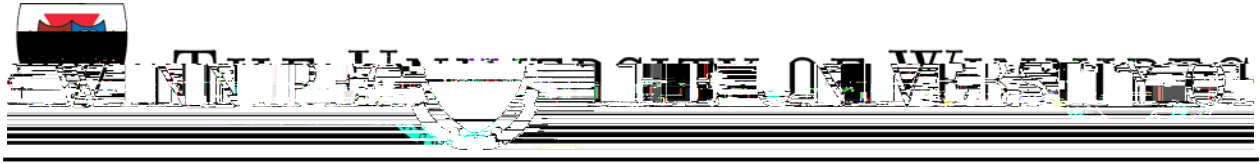
University Officials

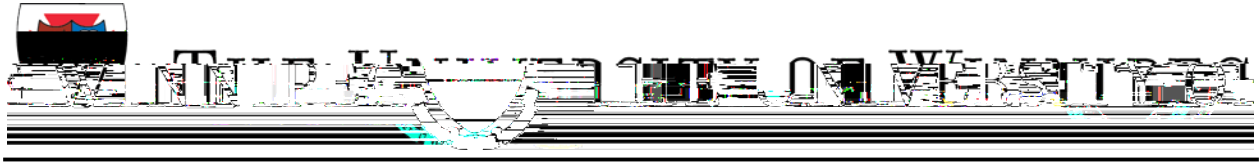


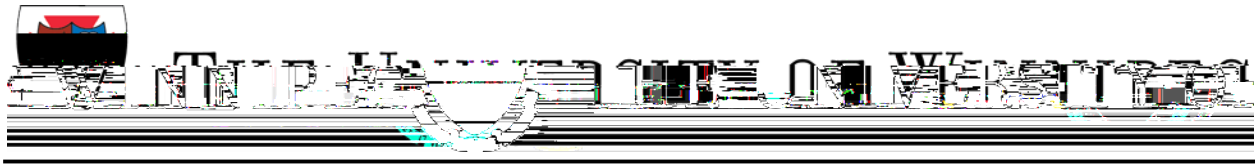
Lack of awareness of the policies is not a defense for research misconduct.

The University is responsible to promote a greater understanding of research ethics and integrity issues by:

1. Offering workshops and web-based materials for Community Members along with orientation for those who are new to the university;
2. Ensuring that copies of this Policy are accessible to all Community Members;
3. Ensuring that recipients of all research and scholarship grants and funds administered by the University will be required to indicate in







listed shall have seen and approved a manuscript before submission unless following reasonable efforts to make contact to obtain that approval no contact was able to be established.

- iii. Expectations related to authorship shall normally be clarified with collaborators and students, or Research Support Employees before the research is begun or before they become involved in it.
11. Failure to use archival material in accordance with the rules of the archival source.
12. Destruction of research records, that is, the destruction of one's own or another's research data or records to specifically avoid the detection of wrongdoing or in contravention of the applicable funding agreement, institutional policy, and/or laws, regulations and professional or disciplinary standards.
13. Redundant publications, that is, the publication of one's own previously published work or part thereof, or data, in the same or another language, without adequate acknowledgement of the source, or justification.
14. Providing incomplete, inaccurate or false information in a grant or award application or related document, such as a letter of support or progress report.
15. Applying for and/or holding a research award when deemed ineligible by the Tri-Agency or any other research or research funding organization world-wide for reasons of breach of

